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Page 1 of 1

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address Commusioner of patents and trademarks Washington, D.C. 19971

	<u> </u>		
Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/844,286	04/27/2001	Yang, Changhuei	0050.2004-001
A	<u></u>		

THOMAS O. HOOVER, ESQ. HAMILTON, BROOK, SMITH & REYNOLDS, P.C. Two Militia Drive Lexington MA 02421-4799

BOWDITCH & DEWEY, LLP

MAR 2 8 2002

Title: Methods and systems using field-based light scattering spectroscopy

Date Mailed:03/15/2002 ...

Request for Substitute Papers

The papers filed on 01/15/02 (certificate of mailing dated 11/20/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

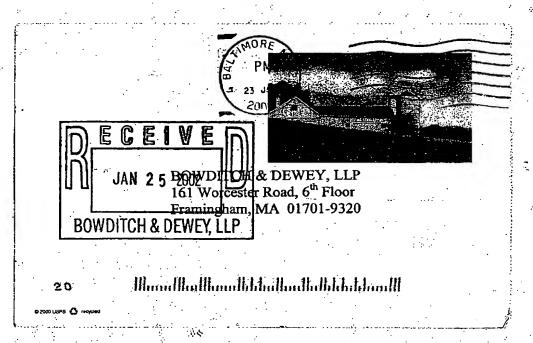
The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to, Box Duplicate OPPI, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed	papers are a com	plete and accurate copy	of the above-identified papers.
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Registration No.: 40,056

Signature: Marica Grewal



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09/844,268

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PATENT APPLICATION

Docket No.: 301505.2004-001 Prior Docket No.: 0050.2004-001

UIFE

Date: November 20, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 2 9 200

Applicants:

Changhuei Yang, Adam P. Wax, Lev T. Perelman, Ramachandra R. Dasari

and Michael S. Feld

Application No.:

09/844,286

Group:

2877

Filed:

April 27, 2001

Examiner:

Not assigned

For:

Methods and Systems Using Field-Based Light Scattering Spectroscopy

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office:

Sinted States Faterit and Trademark Office.

Date

Shamon R. Lloyd

Typed or printed name of person signing certificate

REPLY TO REQUEST FOR SUBSTITUTE PAPERS

Office of Initial Patent Examination (OIPE) Commissioner for Patents Washington, D.C. 20231

Sir:

In reply to the Request for Substitute Papers dated March 15, 2002, applicant's attorney is transmitting a copy of the papers received in the U.S. Patent and Trademark Office on January 15, 2002 and mailed with a certificate of mailing dated November 20, 2001. A copy of the postcard receipt which was mailed with the referenced documents is attached herewith. The attached copies are complete and accurate copies of the papers mailed on November 20, 2001 and are identified in the referenced request. A copy of the executed Request is attached.

09/844,268

-2-

As indicated in the Declaration and Power of Attorney, all correspondence should be forwarded to:

Thomas O. Hoover, Esq. Bowditch & Dewey, LLP 161 Worcester Road Post Office Box 9320 Framingham, MA 01701-9320 Telephone: 508 879-5700

Fax: 508 929-3073

Please acknowledge that applicant's attorney's check for filing fees in the amount of \$2,150.00 mailed with the referenced documents has been properly accounted for in connection with the above identified application.

Respectfully submitted,

BOWDITCH & DEWEY, LLP

Ву____

Monica Grewal

Registration No.: 40,056 Tel.: (508) 879-5700

Fax: (508) 929-3073

Framingham, Massachusetts 01701-9320

Date:

Marcha9, 2002.

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B&D PATENT

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161 Worcester Road, P.O. Box 9320 Framingham, MA 01701-9320 Telephone: (508) 879-5700 Facsimile: (508) 872-1492

www.bowditch.com

MAD O O ---

Bowditch & Dewey, LLP

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TO:

FAX NUMBER:

Office of Initial Patent Examination

(OIPE)

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United States Patent and Trademark

Office

PHONE NUMBER:

March 29, 2002

FROM:

RE:

DATE:

TOTAL NO. OF PAGES INCLUDING COVER:

26

Monica Grewal, Esq.

CLIENT/MATTER NUMBER: 301505.2004-001

Reply to Request for Substitute Papers

Application No. 09/844,286

Filing date 04/27/002

First Named Applicant: Yang, Changhuei Attorney Docket No. 0050.2004-001 Title: Methods and Systems Using Field-

based Light Scattering Spectroscopy

URGENT

FOR REVIEW

PLEASE COMMENT

PLEASE REPLY

PLEASE RECYCLE

Reply to Request for Substitute Papers

Reply to Notice to File Missing Parts w/copy (Formalities Letter Attached)

including Pet Ext Time w/fee

EXECUTED DECL/POA

2 docs

8 pages

FILING FEE w/Fee Transmittal w/copy

SURCHARGE included

SUBSTITUTE DRAWINGS

8 sheets

Figs. 1 - 8

Check for (\$2,150.00)

CONFIDENTIALITY NOTE

The documents accompanying this facsimile transmission contain information from the law firm of Bowditch & Dewey, LLP which is confidential and/or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

If you do not receive all pages or receive this fax in error, please call Sharon Lloyd 508 416-2477





PATENT APPLICATION Docket No.: 301505.2004-001

Prior Docket No.: 0050.2004-001

MG/srl

Date: November 20, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Changhuei Yang, Adam P. Wax, Lev T. Perelman, Ramachandra R. Dasari

and Michael S. Feld

Application No.:

09/844,286

Group:

2877

MAR 2 9

Filed:

April 27, 2001

Examiner:

Not assigned

For:

Methods and Systems Using Field-Based Light Scattering Spectroscopy

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

on November 20, 2011 Sharm R. Shyd Date Signature

Typed or printed name of person signing certificate

COPY

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated June 25, 2001, the following documents and fees are being submitted for filing in the captioned application. A copy of the Notice is attached.

- [X] EXECUTED DECLARATION/POWER OF ATTORNEY
 (Separate transmittal letter and postcard not required)
- [X] FILING FEE with Fee Transmittal for Patent Applications in duplicate.

 (Separate transmittal letter and postcard not required)
- [X] SURCHARGE surcharge fee of \$130.00. (Separate transmittal letter and postcard not required)
- [] SEQUENCE LISTING Filed concurrently and is attached. (Separate transmittal letter and postcard required)

{J:\CLIENTS\ip\301505\2004\2004-001\F0198671.DOC;1}

03/29/2002 17:33 FAX 508 416

09/844,268

	-2-
[X]	TRANSMITTAL OF SUBSTITUTE DRAWINGS
	[X] Sheets of substitute drawings consisting of Figs. 1 - 8 are enclosed. (Separate transmittal letter and postcard not required)
[X]	PETITION FOR EXTENSION OF TIME [X] Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated June 25, 2001 for three (3) month(s) from August 25, 2001 to November 25, 2001. The appropriate fee of \$920.00 is included in the enclosed check.
	[] A [] month extension of time to respond to the Notice to File Missing Parts dated [] was filed on [] with payment of a \$[] fee. [] Applicant hereby petitions for an additional [] month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$[] is included in the enclosed check. (Separate Petition for Extension of Time and postcard not required)
[]	REQUEST FOR CORRECTED FILING RECEIPT - Filed concurrently and is attached. (Separate transmittal letter and postcard required)
	STATEMENT CLAIMING SMALL ENTITY STATUS Was filed on []. Is enclosed herewith. (Separate transmittal letter and postcard not required In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$[] which were paid on [] to be deposited in Deposit Account No.
fees tl	The fees required for filing the indicated documents are enclosed in the form of a check total amount of \$2,150.00. Please charge any deficiency or credit any overpayment in the hat may be due in this matter to Deposit Account No. 50-1935. A copy of this letter is sed for accounting purposes.
	Respectfully submitted,
	BOWDITCH & DEWEY, LLP
•	
	By noura exceval
	Monica Grewal
	Registration No.: 40,056
	Tel.: (508) 879-5700 Fax: (508) 929-3073
	M2Y: /3/1X/V/Q_4H/4

Framingham, Massachusetts 01701-9320 Date: November 20, 2001



BOWDITCH & DEWEY, LLP

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		MITTAL FO PLICATION		Application N			09/844,286			,	
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CLAIM	CALCULA	ATION (includes ar	ny prelimina	ry amendment)					·		
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MAR 2 9 2002



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 09/844,286 04/27/2001

Changhuei Yang

0050.2004-001

CONFIRMATION NO. 9999 FORMALITIES LETTER OC0000000062215441

THOMAS O. HOOVER, ESQ. HAMILTON, BROOK, SMITH & REYNOLDS, P.C. Two Militia Drive Lexington, MA 02421-4799



Date Mailed: 06/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$360.
 - \$360 for 20 total claims over 20.
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1,16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1200.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 Inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

Page 2 of 2

A copy of this notice MUST be returned with the reply.

Customer Service Center

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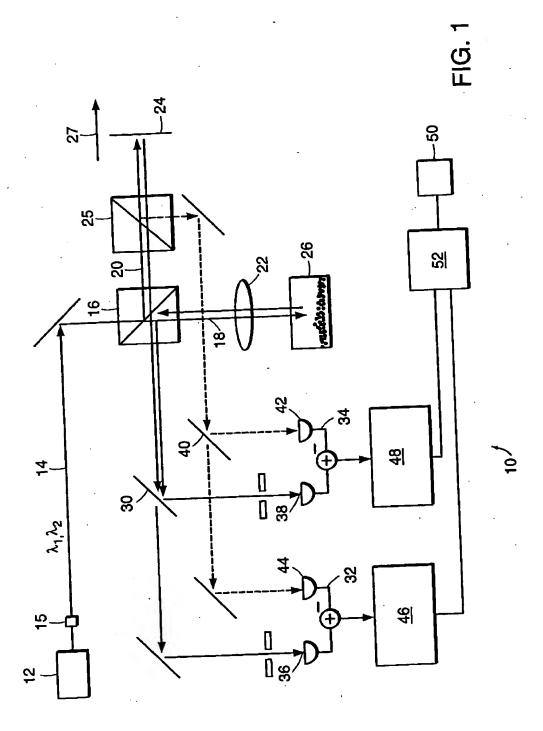
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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A No.: 301505.2004-001
Prior Docket No.: 0050.2004-001
Title: Methods and Systems Using...Spectroscopy
Inventors: Changhuei Yang et al.

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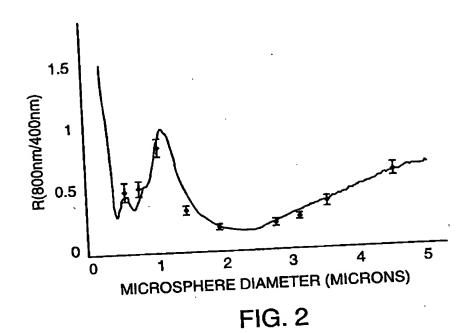
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Docket No.: 301505.2004-001
Prior Docket No.: 0050.2004-001
Title: Methods and Systems Using...Spectroscopy
Inventors: Changhuei Yang et al.

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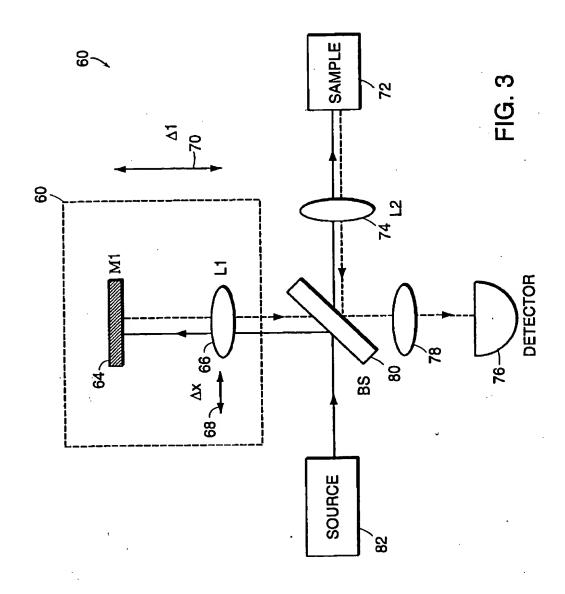
Docket No.: 301505.2004-001

Prior Docket No.: 0050.2004-001
Title: Methods and Systems Using...Spectroscopy

Inventors: Changhuei Yang et al.

COPY

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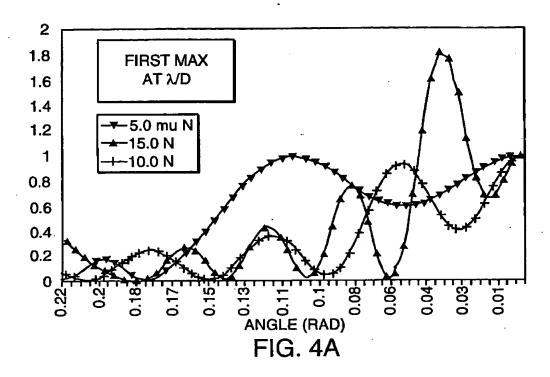
Docket No.: 301505.2004-001 Prior Docket No.: 0050.2004-001

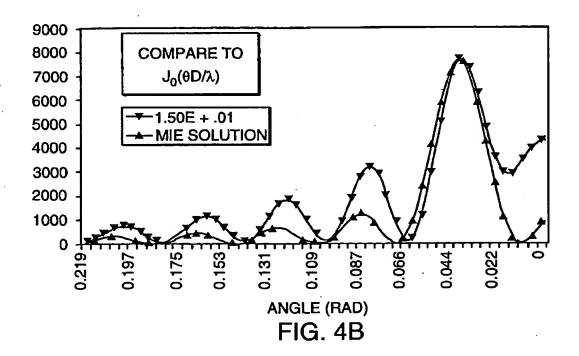
Title: Methods and Systems Using...Spectroscopy

Inventors: Changhuei Yang et al.



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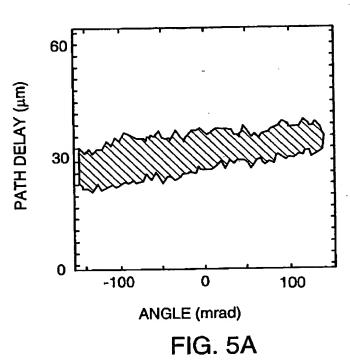
 Docket No.: 301505.2004-001 Prior Docket No.: 0050.2004-001

Title: Methods and Systems Using...Spectroscopy

Inventors: Changhuei Yang et al.

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FIG. 5B

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Docket No.: 301505.2004-001 Prior Docket No.: 0050.2004-001

Title: Methods and Systems Using...Spectroscopy

Inventors: Changhuei Yang et al.

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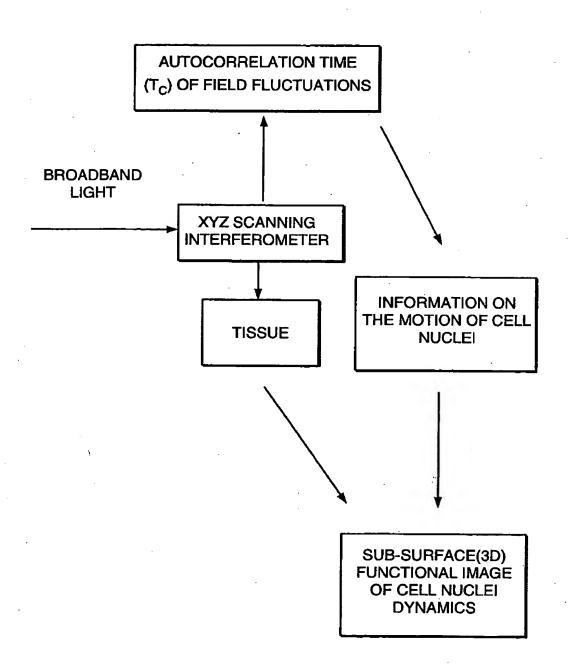


FIG. 6

Docket No.: 301505.2004-001 Prior Docket No.: 0050.2004-001

Title: Methods and Systems Using...Spectroscopy

Inventors: Changhuei Yang et al.

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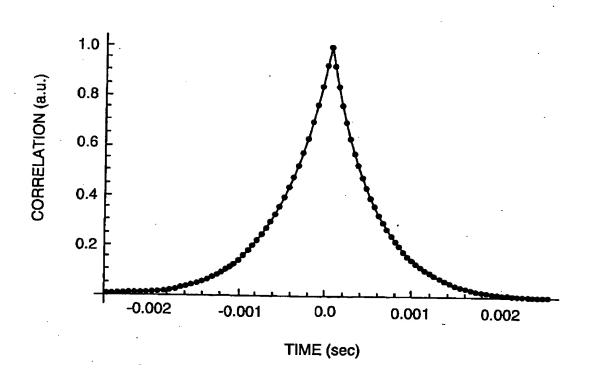


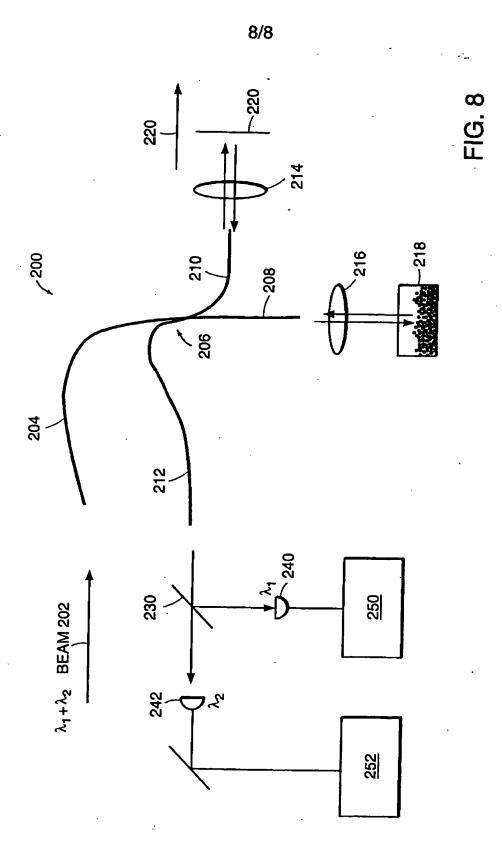
FIG. 7

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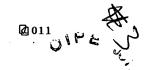
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Docket No.: 301505.2004-001 Prior Docket No.: 0050.2004-001 Title: Methods and Systems Using...Spectroscopy Inventors: Changhuei Yang et al.









DOCKET NO. (Prior 0050.2004-001)

-MAR 2 9 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Declaration for Patent Application

As a named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed) or an original, first and joint inventor (if plural names are listed in the signatory page(s) commencing at page 3 hereof) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHODS AND SYSTEMS USING FIELD-BASED LIGHT SCATTERING SPECTROSCOPY

the spe	cification of which (check o	one)									-
[]	is attached hereto.	,									
[X]	was filed on April 27, 2001 as United States Application										
	Number or PCT Internation	onal Applica	ation No.	09/844,2	86						
	and was amended on		(if applie	able).							
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(Number)





· 60/200,187_		April 28, 2000
(Application Number)		(Filing Date)
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international application designated of the claims of this application the manner provided by the first	ating the United States of a ation is not disclosed in the paragraph of 35 U.S.C. 1 bility as defined in 37 C.F.I	f any United States application(s), or 365(c) of any PCT America, listed below and, insofar as the subject matter to prior United States or PCT International application in 12, I acknowledge the duty to disclose information know R. 1.56 which became available between the filing date of filing date of this application:
(Application Serial No.)	(Filing date)	(Status: patented, pending, abandoned)
(Application Serial No.)	(Filing date)	(Status: patented, pending, abandoned)
(Application Serial No.)	(Filing date)	(Status: patented, pending, abandoned)
application and transact all busi I also hereby grant add prosecute an international appli	ness in the Patent and Trac itional Powers of Attorney cation under the Patent Co	ring attorney(s) and/or agent(s) to prosecute this demark Office connected therewith. y to the following attorney(s) and/or agent(s) to file and operation Treaty based upon the above-identified the requirements for designated states:
Thomas O. Hoover	Reg. No. 32,470	
Monica Grewal Bowditch & Dewey, L 161 Worcester Road Framingham, Massach		
and		<u> </u>
to prosecute this application and	l to transact all business in	the Patent and Trademark Office connected therewith.
Please send correspond	161 Worcest	
Direct telephone calls	to: Thomas O, Hoover	Telephone No.: 508-879-5700
Direct facsimiles to:	Thomas O. Hoover	Facsimile No.: 508-929-3073



COPY

I hereby declare that all statements made herein of my own knowledge are true and that all statements made n information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like s made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Changhuei Yung	
or first inventor Changhuei Yung /600	Data
Inventor's Signature	Date
Residence 136 Cambridge Street, #1	
Cambridge, Massachusetts 02139 United States of America	•
Omited States of America	
Citizenship	
Mailing Address Same as above	
. Addison the state of the stat	
Full name of sole	
or first inventor Adam P. Wax	
Inventor's Signature	Date
Residence 151 Tremont Street, #11T	
Boston, Massachusetts	·
United States of America	
Citizenship	-
Mailing Address Same as above	
Full name of sole or first inventor Lev T. Perelman	
Inventor's Signature	Date
Residence 420 Malden, #1107	Date
Malden, Massachusetts 02148	
United States of America	
CHILDRO OF FEITHER	
Citizenship United States	
Mailing Address Same as above	
	•
Full name of sole	
or first inventor Ramachandra R. Dasari	
Inventor's Signature Ramachando A Decar	Date _08/23/01
Residence 6 Great Rock Road	
Lexington, Massachusetts 02476 02 421	·
United States of America	
Citizenship U.S. A	
Mailing Address Same as above	

Full name of sole or first inventor Michael S. Feld Inventor's Signature Muchael S Fend	Date 8/23/01
Residence 56 Hinckley Road 52 Upland R Cl Newton, Massachusetts 02468 United States of America	
Citizenship United States	
Mailing Address Same as above	

D cket No.: 301505.2004-001 Prior Docket N .: 0050.2004-001)

OIPE

MAR 2 9 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Declaration for Patent Application

As a named inventor, I hereby declare that:

the specification of which (check one)

My residence, mailing address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed) or an original, first and joint inventor (if plural names are listed in the signatory page(s) commencing at page 3 hereof) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHODS AND SYSTEMS USING FIELD-BASED LIGHT SCATTERING SPECTROSCOPY

[]	is attached herete	o.				C			יק
[X]	was filed on Apr	il 27, 2001 as Unit	ed States Application 09/844,286						
	Number or PCT	International Appli	ication No.						
	and was amende	d on	_ (if applicable).						
includi	•		and understand the contents of the endment referred to above.	above	-identi	fied sp	ecifi	catio	n,
	ncluding for conti ate of the prior app	nuation-in-part app	information which is material to prolications, material information whational or PCT international filing of	ich be	came a	vailabl	e be	twee	n the
country any for	ent or inventor's ce other than the Un eign application fo	rtificate, or 365(a) ited States of Amer or patent or inventor	of this under 35 U.S.C. 119(a)-(d) or of any PCT international application of any PCT international application of any PCT internation of the priority is claimed:	on whentific	ich des 1 belov	ignated w, by cl	l at l neck	east o	one he bo
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I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

60/200,187		April 28, 2000
(Application Number)		(Filing Date)
(Application Number)		(Filing Date)
international application designating the U each of the claims of this application is not the manner provided by the first paragraph	inited States of A t disclosed in the of 35 U.S.C. 1 fined in 37 C.F.	f any United States application(s), or 365(c) of any PCT America, listed below and, insofar as the subject matter of e prior United States or PCT International application in 12, I acknowledge the duty to disclose information known R. 1.56 which became available between the filing date of filing date of this application:
(Application Serial No.) (I	Filing date)	(Status: patented, pending, abandoned)
(Application Serial No.) (I	Filing date)	(Status: patented, pending, abandoned)
(Application Serial No.) (I	iling date)	(Status: patented, pending, abandoned)
	vers of Attorney	to the following attorney(s) and/or agent(s) to file and operation Treaty based upon the above-identified
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and		
to prosecute this application and to transact	all business in	the Patent and Trademark Office connected therewith.
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COPY

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine r imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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